



Receipt

PHLY-25,340

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeffry Jovan Philyaw  
Serial No: 09/659,170  
Filing Date: September 11, 2000  
Group: Not Yet Determined  
Title: ACCESSING A VENDOR WEB SITE USING PERSONAL ACCOUNT  
INFORMATION RETRIEVED FROM A CREDIT CARD COMPANY  
WEB SITE

Assistant Commissioner for  
Patents  
Application Processing Division's  
Customer Correction Branch  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to Assistant Commissioner for Patents, Washington,  
DC 20231 on

22 DEC. 2000

(Date of Deposit)

John J. Arnott Reg. No. 39,095

(Name of Person Mailing Document)

John J. Arnott

(Signature)

12/22/00

(Date of Signature)

Dear Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

The following information has been noted to be incorrect on the filing receipt. A  
correction to the filing receipt is therefor requested.

Under the title "Continuing Data as Claimed by Applicant", please delete the current  
description and insert therefor:

--THIS APPLICATION IS A CIP OF 09/382,422 08/24/99,  
WHICH IS A CIP OF 09/378,221 08/19/99,  
WHICH IS A CIP OF 09/151,471 09/11/98  
AND A CIP OF ISSUED PATENT NO. 6,098,106 08/01/00--.

REQUEST FOR CORRECTED FILING RECEIPT  
SERIAL NO. 09/659,170

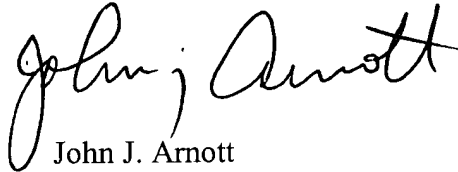
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MAY - 2 2001  
TC 2800 MAIL ROOM

It is respectfully requested that a corrected filing receipt be issued and forwarded to this office.

Respectfully submitted,

HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.  
Attorneys for Applicant



John J. Arnott  
Registration No. 39,095

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MAY 4 - 2001  
Technology Center 2100

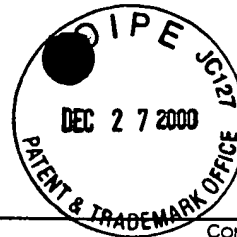
JJA/aw

HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.  
P.O. Box 741715  
Dallas, Texas 75374-1715  
Tel. 972/479-0462  
Fax 972/479-0464  
December 19, 2000

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TC 2800 MAIL ROOM



## UNITED STATES PATENT AND TRADEMARK OFFICE



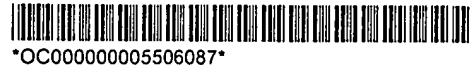
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/659,170	09/11/2000		0	PHLY-25340	24	30	3

Howison Chauza Handley & Arnott LLP  
P O Box 741715  
Dallas, TX 75374-1715

REC'D OCT 30 2000

## FILING RECEIPT



\*OC000000005506087\*

Date Mailed: 10/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jeffry Jovan Philyaw, Dallas, TX ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/382,422 08/24/1999  
WHICH IS A CIP OF 09/378,221 08/19/1999  
WHICH IS A CIP OF 09/151,530 09/11/1998 PAT 6,098,106  
AND WHICH IS A CIP OF 09/151,471 09/11/1998

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MAY 4 - 2001

Technology Center 2100

TC 2800 MAIL ROOM

MAY - 2 2001

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## Foreign Applications

If Required, Foreign Filing License Granted 10/25/2000

\*\* SMALL ENTITY \*\*

## Title

Accessing a vendor web site using personal account information retrieved from a credit card  
company web site

## Preliminary Class

Data entry by : BLIZZARD, SHARON

Team : OIPE

Date: 10/26/2000



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued on all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**RECEIVED**  
MAY 4 - 2001  
Technology Center 2100



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/659,170	09/11/2000	Jeffrey Jovan Philyaw	PHLY-25340

Howison Chauza Handley & Arnott LLP  
P O Box 741715  
Dallas, TX 75374-1715

## FORMALITIES LETTER



\*OC000000005506088\*

Date Mailed: 10/26/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 345 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 500.

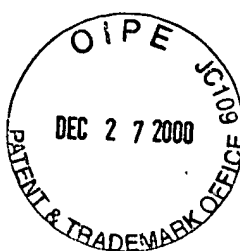
A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/02/2001 HBARHDL 00000041 09659170  
01 FC:201 355.00 DP  
02 FC:203 90.00 DP  
03 FC:205 65.00 DP

PHLY-25,340



SECTOR  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jeffry Jovan Philyaw  
Serial No.: 09/659,170  
Filed: September 11, 2000  
Group No.: Not Yet Assigned  
Examiner: Not Yet Assigned  
For: ACCESSING A VENDOR WEB SITE USING PERSONAL ACCOUNT  
INFORMATION RETRIEVED FROM A CREDIT CARD COMPANY  
WEB SITE

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to Assistant Commissioner for Patents, Washington, DC 20231 on  
22 DEC. 2000  
(Date of Deposit)

John J. Arnold, Registration No. 39,025  
(Name of Person Mailing Document)

(Signature)

(Date of Signature)

Dear Sir:

**SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. 1.53**

Enclosed are the following items for filing in the above-referenced U.S. Patent  
Application:

1. Declaration and Power of Attorney, executed by the Applicant on October 16, 2000.
2. Verified Statement claiming Small Entity Status, executed on October 16, 2000.
3. Copy of Form PTO-1533 - Notice to File Missing Parts of Application.
4. Copy of the Assignment to Digital:Convergence Corporation, executed on October 16, 2000 and recordation cover sheet.

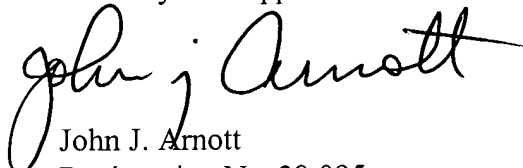
5. Check in the amount of \$550.00 to cover:

1. The filing fee (37 C.F.R. 1.16(a) et seq.) of \$445.00;
2. the surcharge set forth in 37 C.F.R. 1.16(e) of \$65.00; and
3. the Assignment recordal fee of \$40.00.

Please charge any additional fees or deficiencies in fees or credit any overpayment to Deposit Account No. 20-0780/PHLY-25,340 of HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.  
Attorneys for Applicant



John J. Arnott  
Registration No. 39,095

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P.O. Box 741715  
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December 19, 2000